

6165/tat

"PATENT APPLICATION"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

ROBERT R. SEWELL, SR.

U.S. Serial No.

Filed: November 20, 2003

EXHAUST GAS FILTER AND  
FILTERING SYSTEM

- - - - -  
Alexandria, Virginia  
November 20, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97

Dear Sir:

Applicant is submitting herewith copies of the  
following patent documents:

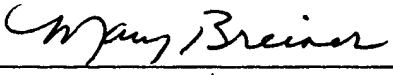
United States Patent No. 3,933,643;  
United States Patent No. 3,960,528;  
United States Patent No. 4,149,862;  
United States Patent No. 4,318,720;  
United States Patent No. 4,728,503;  
United States Patent No. 4,906,263;  
United States Patent No. 5,179,062;  
United States Patent No. 5,223,009;  
United States Patent No. 5,246,472;  
United States Patent No. 5,470,364; and  
United States Patent No. 6,423,534 B1.

6165/Robert R. Sewell, Sr.

The above listed documents are set forth together with the applicant and issue or publication date thereof in the attached PTO Form 1449.

Respectfully submitted,

ROBERT R. SEWELL, SR.

By   
Mary J. Breiner, Attorney  
Registration No. 33,161  
Breiner & Breiner, L.L.C.  
115 North Henry Street  
P.O. Box 19290  
Alexandria, Virginia 22320-0290

Telephone: (703) 684-6885

Attachments - PTO Form 1449 w/11 Documents

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  |   |    |   |                        |                       |
|--|---|----|---|------------------------|-----------------------|
| <b>Substitute for Form 1449/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | Complete if Known      |                       |
|  |   |    |   | Application Number     |                       |
|  |   |    |   | Filing Date            | November 20, 2003     |
|  |   |    |   | First Named Inventor   | Robert R. Sewell, Sr. |
|  |   |    |   | Group Art Unit         |                       |
|  |   |    |   | Examiner Name          |                       |
| Sheet  | 1 | of | 1 | Attorney Docket Number | 6165                  |

| U.S. PATENT DOCUMENTS          |                       |  |                                |   |   |
|--------------------------------|-----------------------|--|--------------------------------|---|---|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup><br>(if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns,<br>Lines, Where<br>Relevant Passages<br>or Relevant<br>Figures Appear |
|                                |                       | US-3,933,643   | F. Colvin et al                | 01/20/1976  |   |
|                                |                       | US-3,960,528   | E. Jacobs et al                | 06/01/1976  |   |
|                                |                       | US-4,149,862   | R. R. Sewell, Sr.              | 04/17/1979  |   |
|                                |                       | US-4,318,720   | Donald L. Hoggart              | 03/09/1982  |   |
|                                |                       | US-4,728,503   | Horaguchi et al                | 03/01/1988  |   |
|                                |                       | US-4,906,263   | von Blücher et al              | 03/06/1990  |   |
|                                |                       | US-5,179,062   | Pascal Dufour                  | 01/12/1993  |   |
|                                |                       | US-5,223,009   | Schuster et al                 | 06/29/1993  |   |
|                                |                       | US-5,246,472   | J. Herman et al                | 09/21/1993  |   |
|                                |                       | US-5,470,364   | Joseph Adiletta                | 11/28/1995  |   |
|                                |                       | US-6,423,534 B1  | H. Shiba et al                 | 07/23/2002  |   |

| FOREIGN PATENT DOCUMENTS       |                       |   |                                |   |  |                |
|--------------------------------|-----------------------|---|--------------------------------|---|--|----------------|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> Number <sup>4</sup> -<br>Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Figures Appear | T <sup>6</sup> |
|                                |                       |   |                                |   |  |                |
|                                |                       |   |                                |   |  |                |
|                                |                       |   |                                |   |  |                |
|                                |                       |   |                                |   |  |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.